

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## In re Application of:

Kocken et. al.

**Serial No.:** 10/615,615

**Filed:** July 8, 2003

For: EFFICIENT EXPRESSION OF PLASMODIUM APICAL MEMBRANE

ANTIGEN 1 IN YEAST CELLS

**Confirmation No.: 8276** 

Examiner: R. Akhavan

**Group Art Unit: 1636** 

Attorney Docket No.: 2183-6041US

NO	TOF	OF	FXPR	ECC	MATI	INC

Express Mail Mailing Lab	el Number:	EL994825304US
Date of Deposit with USPS	S:	February 17, 2005
Person making Deposit: _		Steve Wong

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

۲,

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

The documents listed on this Supplemental Information Disclosure Statement were previously listed on a Supplemental Information Disclosure Statement submitted on December 15, 2003. The Examiner did not receive copies of the documents; thus, Applicants are

Serial No.: 10/615,615

resubmitting with courtesy copies. Attachments include a copy of the postcard, copy of the PTO/SB/08 and cited references.

## Other Documents

EMBL Database Entry PFPF83B, Accession number M58546, 1 May 1991.

KOCKEN et al., High-Level Expression of Plasmodium vivax Apical Membrane Antigen 1 (AMA-1) in Pichia pastoris: Strong Immunogenicity in Macaca mulatta Immunized with P. vivax AMA-1 and Adjuvant SBAS2, Infection and Immunity, Jan. 1999, pp. 43-49, Vol. 67, No. 1.

KOCKEN et al., Rapid Screening and Mapping of Conformational Epitopes Expressed in the Secretion Expression System Pichia pastoris, Analytical Biochemistry, 1996, pp. 111-112.

NARUM et al., Ion-exchange-immunoaffinity purification of a recombinant baculovirus Plasmodium falciparum apical membrane antigen, PF83/AMA-1, Journal of Chromatography, 1993, pp. 357-63, Vol. 657, Amsterdam.

THOMAS et al., Analysis of variation in PF83, an erythrocytic merozoite vaccine candidate antigen of Plasmodium falciparum, Molecular and Biochemical Parasitology, 1990, pp. 285-288, Vol. 42.

WITHERS-MARTINEZ et al., PCR-based gene synthesis as an efficient approach for expression of the A + T-rich malaria genome, Protein Engineering, 1999, pp. 1113-20, Vol. 12, No. 12.

PCT International Preliminary Examination Report, PCT/NL01/00934, dated November 1, 2002, 3 pages.

**Serial No.:** 10/615,615

Respectfully submitted,

Allen C. Turner

Registration No. 33,041

Attorney for Applicant(s)

TRASKBRITT, P.C.

P.O. Box 2550

Salt Lake City, Utah 84110-2550

Telephone: 801-532-1922

Date: December 15, 2003

ACT/bv

Enclosures: Form PTO-1449 or PTO/SB/08

Copy of documents cited

Document in ProLaw



PTO/SB/08B(10-01) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO Complete if Known 10/615,615 Application Number INFORMATION DISCLOSURE July 8, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Kocken et. al. Group Art Unit 1636 (use as many sheets as necessary) **Examiner Name** To be assigned Attorney Docket Number 2183-6041US Sheet

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		EMBL Database Entry PFPF83B, Accession number M58546, 1 May 1991.		
		KOCKEN et al., High-Level Expression of Plasmodium vivax Apical Membrane Antigen I (AMA-1) in Pichia pastoris: Strong Immunogenicity in Macaca mulatta Immunized with P. vivax AMA-1 and Adjuvant SBAS2, Infection and Immunity, Jan. 1999, pp. 43-49, Vol. 67, No. 1.		
		KOCKEN et al., Rapid Screening and Mapping of Conformational Epitopes Expressed in the Secretion Expression System Pichia pastoris, Analytical Biochemistry, 1996, pp. 111-112.		
		NARUM et al., Ion-exchange-immunoaffinity purification of a recombinant baculovirus Plasmodium falciparum apical membrane antigen, PF83/AMA-1, Journal of Chromatography, 1993, pp. 357-63, Vol. 657, Amsterdam.		
		THOMAS et al., Analysis of variation in PF83, an erythrocytic merozoite vaccine candidate antigen of Plasmodium falciparum, Molecular and Biochemical Parasitology, 1990, pp. 285-288, Vol. 42.		
		WITHERS-MARTINEZ et al., PCR-based gene synthesis as an efficient approach for expression of the A + T-rich malaria genome, Protein Engineering, 1999, pp. 1113-20, Vol. 12, No. 12.		
		PCT International Preliminary Examination Report, PCT/NL01/00934, dated November 1, 2002, 3 pages.		

	 7 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	
Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



<sup>&</sup>lt;sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

THE PATENT & TRADEMARK OFFICE MAILROOM D
STA D HEREON IS AN ACKNOWLEDGEMENT THE DATE THE PATENT & TRADEMARK OFFICE RECEIVED:

Response to Notice to File Missing Parts (2 pages); Copy of Notice to File Missing Parts (2 pages); Declaration and Power of Attorney (3 pages); Check No. 17 14 in the amount of \$1,416.00; Statement Under 37 C.F.R. §§ 1.821 through 1.825 (2 pages); sequence listing in CRF and paper copy; Amendment (3 pages); Supplemental Information Disclosure Statement (3 pages); PTO/SB/08 (1 page); cited references

Invention:

EFFICIENT EXPRESSION OF PLASMODIUM

APICAL MEMBRANE ANTIGEN 1 IN YEA

**CELLS** 

Applicant(s): Filing Date:

Kocken et. al. July 8, 2003 10/615,615

Serial No.: Date Sent:

December 15, 2003 via first class mail

Docket No.: 2183-6041US

ACT/bv

TRADRAMBLOS

RECEIVED
DEC 2 9 2003

TRASKBRITT, P.C.



TRASKBRITT
P.O. Box 2550
Salt Lake City, UT 84110-2550

CIUSPS 2002 C recycled

Hadalahadhadhaahdhadhdhaddhaddhaddad

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO	Complete if Known		
	Application Number	10/615,615	
INFORMATION DISCLOSURE	Filing Date	July 8, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Kocken et. al.	
	Group Art Unit	1636	
(use as many sheets as necessary)	Examiner Name	To be assigned	
Sheet 1 of 1	Attorney Docket Number	2183-6041US	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher,	T²
Initials *	No.1	city and/or country where published.	
		EMBL Database Entry PFPF83B, Accession number M58546, 1 May 1991.	
		KOCKEN et al., High-Level Expression of Plasmodium vivax Apical Membrane Antigen 1 (AMA-1) in Pichia pastoris: Strong Immunogenicity in Macaca mulatta Immunized with P. vivax AMA-1 and Adjuvant SBAS2, Infection and Immunity, Jan. 1999, pp. 43-49, Vol. 67, No. 1.	
		KOCKEN et al., Rapid Screening and Mapping of Conformational Epitopes Expressed in the Secretion Expression System Pichia pastoris, Analytical Biochemistry, 1996, pp. 111-112.	
		NARUM et al., Ion-exchange-immunoaffinity purification of a recombinant baculovirus Plasmodium falciparum apical membrane antigen, PF83/AMA-1, Journal of Chromatography, 1993, pp. 357-63, Vol. 657, Amsterdam.	
		THOMAS et al., Analysis of variation in PF83, an erythrocytic merozoite vaccine candidate antigen of Plasmodium falciparum, Molecular and Biochemical Parasitology, 1990, pp. 285-288, Vol. 42.	
		WITHERS-MARTINEZ et al., PCR-based gene synthesis as an efficient approach for expression of the A + T-rich malaria genome, Protein Engineering, 1999, pp. 1113-20, Vol. 12, No. 12.	i
		PCT International Preliminary Examination Report, PCT/NL01/00934, dated November 1, 2002, 3 pages.	
*			
	+		

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not . considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.